DEPARTMENT OF HOMELAND SECURITY U.S. Customs and Border Protection

OMB No. 1651-0098 Exp. 03-31-2012 See back of form for Paperwork Reduction Act Notice.

NORTH AMERICAN FREE TRADE AGREEMENT CERTIFICATE OF ORIGIN

Please print or type

VALVE, BALL TYPE (7200 SERIES) (BRASS)

19 CFR 181.11.181.22

19 01 10	101.11.101.22				
1. EXPORTER NAME AND ADDRESS	2. BLANKET PERIOR	D			
CIRCOR INSTRUMENTATION TECHNOLOGIES dba HOKE INCORPORATED	01/01/2011 through 12/31/2011				
405 CENTURA COURT	FROM				
SPARTANBURG, SOUTH CAROLINA USA 29303	JANUARY 1, 2011 TO				
3. PRODUCER NAME AND ADDRESS	4. IMPORTER NAME	AND ADDRESS			
CIRCOR INSTRUMENTATION TECHNOLOGIES dba HOKE INCORPORATED 405 CENTURA COURT SPARTANBURG, SOUTH CAROLINA USA 29303	VARIOUS				
TAX IDENTIFICATION NUMBER: 22-142-6046	TAX IDENTIFICATION	N NUMBER:			
5.	6.	7.	8.	9.	10.
DESCRIPTION OF GOOD(S)	HS TARIFF	PREFERENCE	PRODUCER	NET	COUNTRY
	CLASSIFICATION NUMBER	CRITERION		COST	OF ORIGIN
BAGS, OF OTHER PLASTICS (79106/79109)	3923.290000	В	NO(1)	NO	US
BINDERS, LOOSE LEAF (79100)	4820.300020	В	NO(1)	NO	US
CATALOGS, PRINTED (79002/04/05/08/11/15/26/32/39/41)	4911.100050	В	YES	NO	US
CATALOGS, PRINTED (79104/111/399)	4911.100050	В	YES	NO	US
CONTAINERS, FOR COMPRESSED/LIQUIFIED GAS (CYLINDERS)	7311.000000	В	YES	NO	US
FILTERS (CYCLONE SERIES)	8421.398090	В	YES	NO	US
FITTINGS, BUTT WELDING (316 STAINLESS STEEL) ("CBW")	7307.230000	В	YES	NO	US
FITTINGS, TUBE OR PIPE (BRASS)	7412.200000	D1	NO(1)	NO	US
FITTINGS, TUBE OR PIPE (316 STAINLESS STEEL)	7307.290000	D1	NO(1)	NO	US
FITTINGS, TUBE OTHER (CARBON STEEL)	7307.992000	В	YES	NO	US
FITTINGS, TUBE OR PIPE (HASTALLOY)	7507.120000	В	YES	NO	US
FITTINGS, TUBE OR PIPE (NICKEL)	7507.200000	В	YES	NO	US
FITTINGS & SPARE PARTS (MONEL)	7508.900000	В	YES	NO	US
GAS ANALYZER COMPONENTS/ASSEMBLIES	9027.908950	В	YES	NO	US
ORDER FORMS (79400)	4820.100000	В	YES	NO	US
ORGANIC AGENT, OTHER (LEAK DETECTIVE)	3401.300000	В	NO(1)	NO	US
PAPER, SELF ADHESIVE (79313/79314)	4821.902000	В	NO(1)	NO	US
POSTERS, PRINTED (79102/110/306/308/311)	4911.910020	В	YES	NO	US
PRINTED MATTER, OTHER (79012/13/65/123/124/125/126/127/128)	4911.100090	В	YES	NO	US
PRINTED MATTER, OTHER (79225/230/301/307/315/316/318/319)	4911.100090	В	YES	NO	US
REGULATOR, BACK PRESSURE (BP & LB SERIES)	8481.809015	В	YES	NO	US
REGULATOR, CYLINDER (CYL, CC & COM SERIES)	8481.809015	В	YES	NO	US
REGULATOR, DIAPHRAGM (DV SERIES)	8481.809015	В	YES	NO	US
REGULATOR, DOME LOADED (DL SERIES)	8481.809015	В	YES	NO	US
REGULATOR, HIGH PRESS (PR-5 SERIES)	8481.809015	В	YES	NO	US
REGULATOR, HIGH PURITY (UPR & UCP SERIES)	8481.809015	В	YES	NO	US
REGULATOR, SINGLE STAGE (CPR, SPR, LG & PR SERIES)	8481.809015	В	YES	NO	US
REGULATOR, VAPORIZING (MV, HPR & LNG HXR SERIES)	8481.809015	В	YES	NO	US
VALVE, BALL 2 & 3 WAY (7G SERIES)	8481.803070	В	YES	NO	US
VALVE, BALL TYPE (7000 SERIES) (STAINLESS STEEL)	8481.803070	В	YES	NO	US
VALVE, BALL TYPE (7100 SERIES) (BRASS)	8481.801085	В	YES	NO	US
VALVE, BALL TYPE (7100 SERIES) (STAINLESS STEEL)	8481.803070	В	YES	NO	US
VALVE DALL TYPE (7200 CERIES) (PRACE)	0404 004005	l D	VEC	NO	110

8481.801085

В

YES

US

1. EXPORTER NAME AND ADDRESS

CIRCOR INSTRUMENTATION TECHNOLOGIES dba HOKE INCORPORATED 405 CENTURA COURT SPARTANBURG, SOUTH CAROLINA USA 29303

TAX IDENTIFICATION NUMBER: 22-142-6046

3. PRODUCER NAME AND ADDRESS

CIRCOR INSTRUMENTATION TECHNOLOGIES dba HOKE INCORPORATED 405 CENTURA COURT SPARTANBURG, SOUTH CAROLINA USA 29303 2. BLANKET PERIOD

01/01/2011 through 12/31/2011

FROM

JANUARY 1, 2011

TO

DECEMBER 31, 2011

4. IMPORTER NAME AND ADDRESS

VARIOUS

TAX IDENTIFICATION NUMBER: 22-142-6046 TAX IDENTIFICATION NUMBER:

TAX IDENTIFICATION NOMBER. 22-142-0040	TAX IDENTIFICATIO	IN NOWIDER.			
5. DESCRIPTION OF GOOD(S)	6. HS TARIFF CLASSIFICATION NUMBER	7. PREFERENCE CRITERION	8. PRODUCER	9. NET COST	10. COUNTRY OF ORIGIN
VALVE, BALL TYPE (7200 SERIES) (STAINLESS STEEL)	8481.803070	В	YES	NO	US
VALVE, BALL TYPE (7600 SERIES) (BRASS)	8481.801085	В	YES	NO	US
VALVE, BALL TYPE (7600 SERIES) (STAINLESS STEEL)	8481.803070	В	YES	NO	US
VALVE, BALL TYPE (7900 SERIES) (BRASS)	8481.801085	В	YES	NO	US
VALVE, BALL TYPE (7900 SERIES) (STAINLESS STEEL)	8481.803070	В	YES	NO	US
VALVE, BALL, OTHER MATERIAL (7100 SERIES SPEC.)	8481.805090	В	YES	NO	US
VALVE, BALL, OTHER MATERIAL (7200 SERIES SPEC.)	8481.805090	В	YES	NO	US
VALVE, BALL, OTHER MATERIAL (7600 SERIES SPEC.)	8481.805090	В	YES	NO	US
VALVE, BALL, OTHER MATERIAL (7900 SERIES SPEC.)	8481.805090	В	YES	NO	US
VALVE, BLEED TYPE (6600 SERIES) (BRASS)	8481.400000	В	YES	NO	US
VALVE, BLEED TYPE (6600 SERIES) (STAINLESS STEEL)	8481.400000	В	YES	NO	US
VALVE, CHECK (6100 SERIES) (BRASS)	8481.301090	В	YES	NO	US
VALVE, CHECK (6100 SERIES) (STAINLESS STEEL)	8481.302090	В	YES	NO	US
VALVE, CHECK (6200 SERIES) (BRASS)	8481.301090	В	YES	NO	US
VALVE, CHECK (6200 SERIES) (STAINLESS STEEL)	8481.302090	В	YES	NO	US
VALVE, CHECK (CVH SERIES) (STAINLESS STEEL)	8481.302090	В	YES	NO	US
VALVE, CHECK, OTHER MATERIAL (6100 SERIES SPEC.)	8481.309000	В	YES	NO	US
VALVE, CHECK, OTHER MATERIAL (6200 SERIES SPEC.)	8481.309000	В	YES	NO	US
VALVE, CHECK, OTHER MATERIAL (CVH SERIES)	8481.309000	В	YES	NO	US
VALVE, EXCESS FLOW (XFA SERIES) (STAINLESS STEEL)	8481.302090	В	YES	NO	US
VALVE, FILTER (6300 SERIES) (BRASS)	8481.301090	В	YES	NO	US
VALVE, GAGE (6800 SERIES) (STAINLESS STEEL)	8481.302090	В	YES	NO	US
VALVE, GLOBE (2800 SERIES) (STAINLESS STEEL)	8481.803060	В	YES	NO	US
VALVE, GLOBE TOGGLE (1500 SERIES) (STAINLESS STEEL)	8481.803060	В	YES	NO	US
VALVE, GLOBE TYPE (1300 SERIES) (STAINLESS STEEL)	8481.803060	В	YES	NO	US
VALVE, GLOBE TYPE (1600 SERIES) (BRASS)	8481.801070	В	YES	NO	US
VALVE, GLOBE TYPE (1600 SERIES) (STAINLESS STEEL)	8481.803060	В	YES	NO	US
VALVE, GLOBE TYPE (1700 SERIES) (STAINLESS STEEL)	8481.803060	В	YES	NO	US
VALVE, GLOBE TYPE (1900 SERIES) (BRASS)	8481.801070	В	YES	NO	US
VALVE, GLOBE TYPE (1900 SERIES) (STAINLESS STEEL)	8481.803060	В	YES	NO	US
VALVE, GLOBE TYPE (2100, 2200) (BRASS)	8481.801070	В	YES	NO	US
VALVE, GLOBE TYPE (2100, 2200) (STAINLESS STEEL)	8481.803060	В	YES	NO	US
VALVE, GLOBE TYPE (2300 SERIES) (BRASS)	8481.801070	В	YES	NO	US
VALVE, GLOBE TYPE (2300 SERIES) (STAINLESS STEEL)	8481.803060	В	YES	NO	US
VALVE, GLOBE TYPE (2400 SERIES) (BRASS)	8481.801070	В	YES	NO	US
VALVE, GLOBE TYPE (2400 SERIES) (STAINLESS STEEL)	8481.803060	В	YES	NO	US
VALVE, GLOBE TYPE (3700, 3800, 3900) (BRASS)	8481.801070	В	YES	NO	US
VALVE, GLOBE TYPE (3700, 3800, 3900) (STAINLESS STEEL)	8481.803060	В	YES	NO	US
VALVE, GLOBE TYPE (6300 SERIES) (STAINLESS STEEL)	8481.803060	В	YES	NO	US
VALVE, GLOBE TYPE (6600 SERIES) (STAINLESS STEEL)	8481.803060	В	YES	NO	US

1. EXPORTER NAME AND ADDRESS

CIRCOR INSTRUMENTATION TECHNOLOGIES dba HOKE INCORPORATED 405 CENTURA COURT SPARTANBURG, SOUTH CAROLINA USA 29303

TAX IDENTIFICATION NUMBER: 22-142-6046

3. PRODUCER NAME AND ADDRESS

CIRCOR INSTRUMENTATION TECHNOLOGIES dba HOKE INCORPORATED 405 CENTURA COURT SPARTANBURG, SOUTH CAROLINA USA 29303 2. BLANKET PERIOD

01/01/2011 through 12/31/2011

FROM

JANUARY 1, 2011

TO

DECEMBER 31, 2011

4. IMPORTER NAME AND ADDRESS

VARIOUS

TAX IDENTIFICATION NUMBER: 22-142-6046 TAX IDENTIFICATION NUMBER:

TAX IDENTIFICATION NUMBER: 22-142-6046	TAX IDENTIFICATIO	N NUMBER:			
5. DESCRIPTION OF GOOD(S)	6. HS TARIFF CLASSIFICATION NUMBER	7. PREFERENCE CRITERION	8. PRODUCER	9. NET COST	10. COUNTRY OF ORIGIN
VALVE, GLOBE, OTHER MATLERIAL (1300 SERIES SPEC.)	8481.805090	В	YES	NO	US
VALVE, GLOBE, OTHER MATLERIAL (1600 SERIES SPEC.)	8481.805090	В	YES	NO	US
VALVE, GLOBE, OTHER MATLERIAL (1700 SERIES SPEC.)	8481.805090	В	YES	NO	US
VALVE, GLOBE, OTHER MATLERIAL (1900 SERIES SPEC.)	8481.805090	В	YES	NO	US
VALVE, GLOBE, OTHER MATLERIAL (2100 SERIES SPEC.)	8481.805090	В	YES	NO	US
VALVE, GLOBE, OTHER MATLERIAL (2300 SERIES SPEC.)	8481.805090	В	YES	NO	US
VALVE, GLOBE, OTHER MATLERIAL (2400 SERIES SPEC.)	8481.805090	В	YES	NO	US
VALVE, GLOBE, OTHER MATLERIAL (3700/3800/3900 SPEC.)	8481.805090	В	YES	NO	US
VALVE, GLOBE, OTHER MATLERIAL (4100 SERIES SPEC.)	8481.805090	В	YES	NO	US
VALVE, OTHER (1500 SERIES) (BRASS)	8481.801095	В	YES	NO	US
VALVE, OTHER (1500 SERIES) (STAINLESS STEEL)	8481.803090	В	YES	NO	US
VALVE, OTHER (8000, 8100, 8200, 8600) (STAINLESS STEEL)	8481.803090	В	NO(1)	NO	US
VALVE, OTHER, OTHER MATERIAL (6600 SERIES SPEC.)	8481.400000	В	YES	NO	US
VALVE, PACKLESS (4100 SERIES) (BRASS)	8481.801070	В	YES	NO	US
VALVE, PACKLESS (4100 SERIES) (STAINLESS STEEL)	8481.803060	В	YES	NO	US
VALVE, PACKLESS (4200 SERIES) (BRASS)	8481.801070	В	YES	NO	US
VALVE, PACKLESS (4200 SERIES) (STAINLESS STEEL)	8481.803060	В	YES	NO	US
VALVE, PACKLESS (4500 SERIES) (BRASS)	8481.801070	В	YES	NO	US
VALVE, PACKLESS (4500 SERIES) (STAINLESS STEEL)	8481.803060	В	YES	NO	US
VALVE, PACKLESS (4600 SERIES) (STAINLESS STEEL)	8481.803060	В	YES	NO	US
VALVE, PACKLESS (4600 SERIES) (MONEL)	8481.805090	В	YES	NO	US
VALVE, PACKLESS (9000 SERIES) (STAINLESS STEEL)	8481.803060	В	YES	NO	US
VALVE, PACKLESS, OTHER MATL. (4600 SERIES SPEC.)	8481.805090	В	YES	NO	US
VALVE, PACKLESS, OTHER MATL. (4200 SERIES SPEC.)	8481.805090	В	YES	NO	US
VALVE, PACKLESS, OTHER MATL. (4500 SERIES) (SPEC. MONEL)	8481.803060	В	YES	NO	US
VALVE, PACKLESS, OTHER MATL. (8000/8100/8200/8600)	8481.803090	В	YES	NO	US
VALVE, PARTS (VALVE SPARES)	8481.909090	В	YES	NO	US
VALVE, PARTS, SPRINGS (VALVE SPARES)	8481.909090	В	NO(1)	NO	US
VALVE, PARTS, O-RINGS (VALVE SPARES)	8481.909090	В	YES	NO	US
VALVE, PARTS, OTHER, ACTUATORS (AIR)	8481.909090	В	YES	NO	US
VALVE, PARTS, OTHER, ACTUATORS (ELECTRIC)	8481.909090	В	YES	NO	US
VALVE, PLUG TYPE (3700 SERIES) (BRASS)	8481.801075	В	YES	NO	US
VALVE, PLUG TYPE (7300 SERIES) (STAINLESS STEEL)	8481.803065	В	YES	NO	US
VALVE, PLUG, OTHER MATL. (7300 SERIES SPEC.)	8481.805090	В	YES	NO	US
VALVE, PRESSURE REDUCING (REGULATORS)	8481.809015	В	YES	NO	US
VALVE, RELIEF TYPE (6500 SERIES)	8481.400000	В	YES	NO	US
VALVE, RELIEF TYPE (R6000 SERIES)	8481.400000	В	YES	NO	US
VALVE, RELIEF TYPE (6700 SERIES) (STAINLESS STEEL)	8481.400000	В	YES	NO	US
VALVE, THREE PIECE BALL TYPE (7 SERIES) (ST. STEEL)	8481.803070	В	YES	NO	US
VALVE, WITH ACTUATOR (0300 SERIES) (STAINLESS STEEL)	8481.809040	В	YES	NO	US

1. EXPORTER NAME AND ADDRESS

CIRCOR INSTRUMENTATION TECHNOLOGIES dba HOKE INCORPORATED 405 CENTURA COURT SPARTANBURG, SOUTH CAROLINA USA 29303

TAX IDENTIFICATION NUMBER: 22-142-6046

3. PRODUCER NAME AND ADDRESS

CIRCOR INSTRUMENTATION TECHNOLOGIES dba HOKE INCORPORATED **405 CENTURA COURT** SPARTANBURG, SOUTH CAROLINA USA 29303

2. BLANKET PERIOD

01/01/2011 through 12/31/2011

FROM

JANUARY 1, 2011

TO

DECEMBER 31, 2011

4. IMPORTER NAME AND ADDRESS

VARIOUS

TAX IDENTIFICATION NUMBER: 22-142-6046	TAX IDENTIFICATION NUMBER:				
5. DESCRIPTION OF GOOD(S)	6. HS TARIFF CLASSIFICATION NUMBER	7. PREFERENCE CRITERION	8. PRODUCER	9. NET COST	10. COUNTRY OF ORIGIN
VALVE, WITH ACTUATOR (1300 SERIES W/ACTUATOR)	8481.803090	В	YES	NO	US
VALVE, WITH ACTUATOR (1300 SERIES W/ACTUATOR)	8481.803090	В	YES	NO	US
VALVE, WITH ACTUATOR (1600 SERIES W/ACTUATOR)	8481.809040	В	YES	NO	US
VALVE, WITH ACTUATOR (2300 SERIES W/ACTUATOR)	8481.809040	В	YES	NO	US
VALVE, WITH ACTUATOR (7100 SERIES W/AIR ACTUATOR)	8481.809040	В	YES	NO	US
VALVE, WITH ACTUATOR (7100 SERIES W/ELEC. ACTUATOR)	8481.803090	В	YES	NO	US
VALVE, WITH ACTUATOR (7200 SERIES W/ELEC. ACTUATOR)	8481.803090	В	YES	NO	US
VALVE, WITH ACTUATOR (7600 SERIES W/AIR ACTUATOR)	8481.803090	В	YES	NO	US
VALVE, WITH ACTUATOR (7600 SERIES W/ELEC. ACTUATOR)	8481.803090	В	YES	NO	US
VALVE, WITH ACTUATOR (9300 SERIES W/AIR ACTUATOR)	8481.803090	В	YES	NO	US
VALVE, WITH ACTUATOR (N VALVES W/AIR ACTUATOR)	8481.803090	В	YES	NO	US
VALVE, WITH ACTUATOR (N VALVES W/ELEC. ACTUATOR)	8481.803090	В	YES	NO	US

I CERTIFY THAT:

- THE INFORMATION ON THIS DOCUMENT IS TRUE AND ACCURATE AND I ASSUME RESPONSIBILITY FOR PROVING SUCH REPRESENTATIONS. I UNDERSTAND THAT I AM LIABLE FOR ANY FALSE STATEMENTS OR MATERIAL MADE ON OR IN CONNECTION WITH THIS DOCUMENT;
- I AGREE TO MAINTAIN AND PRESENT UPON REQUEST, DOCUMENTATION NECESSARY TO SUPPORT THIS CERTIFICATE, AND TO INFORM, IN WRITING, ALL PERSONS TO WHOM THE CERTIFICATE WAS GIVEN OF ANY CHANGES THAT COULD AFFECT THE ACCURACY OR VALIDITY OF THIS CERTIFICATE;
- THE GOODS ORIGINATED IN THE TERRITORY OF ONE OR MORE OF THE PARTIES, AND COMPLY WITH THE ORIGIN REQUIREMENTS SPECIFIED FOR THOSE GOODS IN THE NORTH AMERICAN FREE TRADE AGREEMENT AND UNLESS SPECIFICALLY EXEMPTED IN ARTICLE 411 OR ANNEX 401, THERE HAS BEEN NO FURTHER PRODUCTION OR ANY OTHER OPERATION OUTSIDE THE TERRITORIES OF THE PARTIES; AND
- THIS CERTIFICATE CONSISTS OF 4 PAGES, INCLUDING ALL ATTACHMENTS.

11a. AUTHORIZED SIGNATU	IBE
Bull	- M-
11b. COMPANY	
CIRCOR INSTRUMENT	ATION TECHNOLOGIES, INC.
11c. NAME (Print or Type)	
BRI	AN MANN
11d. TITLE	
EXPORT COMPL	IANCE COORDINATOR
11e. DATE (MM/DD/YYYY)	
01	/01/2011
11f. Phone Number (Voice)	11g. Phone Number (Facsimile)
(864) 574-7966 x5256	(864) 505-5201

Spartanburg Chamber PO Box 1636 Spartanburg:

Spartanburg Area Chamber of Commerce, South Carolina

CBP Form 434 (CIRCOR)

PAPERWORK REDUCTION ACT NOTICE: This information is needed to carry out the terms of the North American Free Trade Agreement (NAFTA). NAFTA requires that, upon request, an importer must provide CBP with proof of the exporters written certification of the origin of the goods. The certification is essential to substantiate compliance with the rules of origin under the Agreement. You are required to give us this information to obtain a benefit.

The estimated average burden associated with this collection of information is 15 minutes per respondent or record keeper depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to U.S. Customs and Border Protection, Information Services Branch, Washington DC 20229, and to the Office of Management and Budget, Paperwork Reduction Project (1651-0098), Washington DC 20503.

NORTH AMERICAN FREE TRADE AGREEMENT CERTIFICATE OF ORIGIN INSTRUCTIONS

For purposes of obtaining preferential tariff treatment, this document must be completed legibly and in full by the exporter and be in the possession of the importer at the time the declaration is made. This document may also be completed voluntarily by the producer for use by the exporter. Please print or type:

- FIELD 1: State the full legal name, address (including country) and legal tax identification number of the exporter. Legal taxation number is: in Canada, employer number or importer/exporter number assigned by Revenue Canada; in Mexico, federal taxpayer's registry number (RFC); and in the United States, employer's identification number or Social Security Number.
- FIELD 2: Complete field if the Certificate covers multiple shipments of identical goods as described in Field # 5 that are imported into a NAFTA country for a specified period of up to one year (the blanket period). "FROM" is the date upon which the Certificate becomes applicable to the good covered by the blanket Certificate (it may be prior to the date of signing this Certificate). "TO" is the date upon which the blanket period expires. The importation of a good for which preferential treatment is claimed based on this Certificate must occur between these dates.
- State the full legal name, address (including country) and legal tax identification number, as defined in Field #1, of the producer. If more than one producer's good is included on the Certificate, attach a list of additional producers, including the legal name, address (including country) and legal tax identification number, cross-referenced to the good described in Field #5. If you wish this information to be confidential, it is acceptable to state "Available to CBP upon request". If the producer and the exporter are the same, complete field with "SAME". If the producer is unknown, it is acceptable to state "UNKNOWN".
- FIELD 4: State the full legal name, address (including country) and legal tax identification number, as defined in Field #1, of the importer. If the importer is not known, state "UNKNOWN"; if multiple importers, state "VARIOUS".
- FIELD 5: Provide a full description of each good. The description should be sufficient to relate it to the invoice description and to the Harmonized System (H.S.) description of the good. If the Certificate covers a single shipment of a good, include the invoice number as shown on the commercial in-voice. If not known, indicate another unique reference number, such as the shipping order number.
- FIELD 6: For each good described in Field #5, identify the H.S. tariff classification to six digits. If the good is subject to a specific rule of origin in Annex 401 that requires eight digits, identify to eight digits, using the H.S. tariff classification of the country into whose territory the good is imported.
- FIELD 7: For each good described in Field #5, state which criterion (A through F) is applicable. The rules of origin are contained in Chapter Four and Annex 401.

 Additional rules are described in Annex 703.2 (certain agricultural goods), Annex 300-B, Appendix 6 (certain textile goods) and Annex 308.1 (certain automatic data processing goods and their parts). NOTE: In order to be entitled to preferential tariff treatment, each good must meet at least one of the criteria below.

Preference Criteria

- A The good is "wholly obtained or produced entirely" in the territory of one or more of the NAFTA countries as referenced in Article 415. Note: The purchase of a good in the territory does not necessarily render it "wholly obtained or produced". If the good is an agricultural good, see also criterion F and Annex 703.2 (Reference: Article 401(a) and 415).
- B The good is produced entirely in the territory of one or more of the NAFTA countries and satisfies the specific rule of origin, set out in Annex 401 that applies to its tariff classification. The rule may include a tariff classification change, regional value-content requirement, or a combination thereof. The good must also satisfy all other applicable requirements of Chapter Four. If the good is an agricultural good, see also criterion F and Annex 703.2. (Reference: Article 401(b)).
- The good is produced entirely in the territory of one or more of the NAFTA countries exclusively from originating materials. Under this criterion, one or more of the materials may not fall within the definition of "wholly produced or obtained", as set out in Article 415. All materials used in the production of the good must qualify as "originating" by meeting the rules of Article 401(a) through (d). If the good is an agricultural good, sell also criterion F and Annex 703.2. (Reference: Article 401(c)).
- D Goods are produced in the territory of one or more of the NAFTA countries but do not meet the applicable rule of origin, set out in Annex 401, because certain non-originating materials do not undergo the required change in tariff classification. The goods do nonetheless meet the regional value-content requirement specified in Article 401 (d). This criterion is limited to the following two circumstances:
 - 1. The good was imported into the territory of a NAFTA country in an unassembled or disassembled form but was classified as an assembled good, pursuant to H.S. General Rule of Interpretation 2(a), or
 - 2. The good incorporated one or more non-originating materials, provided for as parts under the H.S., which could not undergo a change in tariff classification because the heading provided for both the good and its parts and was not further subdivided into subheadings, or the subheading provided for both the good and its part and was not further subdivided.
 - NOTE: This criterion does not apply to Chapters 61 through 63 of the H.S. (Reference: Article 401(d)).
- E Certain automatic data processing goods and their parts, specified in Annex 308.1, that do not originate in the territory are considered originating upon importation into the territory of a NAFTA country from the territory of another NAFTA country when the most-favored-nation tariff rate of the good conforms to the rate established in Annex 308.1 and is common to all NAFTA countries. (Reference: Annex 308.1).
- F The good is an originating agricultural good under preference criterion A, B, or C above and is not subject to a quantitative restriction in the importing NAFTA country because it is a "qualifying good" as defined in Annex 703.2, Section A or B (please specify). A good listed in Appendix 703.2b.7 is also exempt from quantitative restrictions and is eligible for NAFTA preferential tariff treatment if it meets the definition of "qualifying good" in Section A of Annex 703.2. NOTE 1: This criterion does not apply to goods that wholly originate in Canada or the United States and are imported into either country. NOTE 2: A tariff rate quota is not a quantitative restriction.
- FIELD 8: For each good described in Field #5, state "YES" if you are the producer of the good. If you are not the producer of the good, state "NO" followed by (1), (2), or (3), depending on whether this certificate was based upon: (1) your knowledge of whether the good qualifies as an originating good; (2) your reliance on the producer's written representation (other than a Certificate of Origin), that the good qualifies as an originating good; or (3) completed and signed Certificate for the good, voluntarily provided to the exporter by the producer.
- FIELD 9: For each good described in Field #5, where the good is subject to a regional value content (RVC) requirement, indicate "NC" if the RVC is calculated according to the net cost method; otherwise, indicate "NO". If the RVC is calculated over a period of time, further identify the beginning and ending dates (DD/MM/YY) of that period. (Reference: Articles 402.1, 402.5).
- FIELD 10: Identify the name of the country ("MX" or "US" for agricultural and textile goods exported to Canada; "US" or "CA" for all goods exported to Mexico; or "CA" or "MX" for all goods exported to the United States) to which the preferential rate of CBP duty applies, as set out in Annex 302.2, in accordance with the Marking Rules or in each party's schedule of tariff elimination.
 - For all other originating goods exported to Canada, indicate appropriately "MX" or "US" if the goods originate in that NAFTA country, within the meaning of the NAFTA Rules of Origin Regulations, and any subsequent processing in the other NAFTA country does not increase the transaction value of the goods by more than seven percent; otherwise "JNT" for joint production. (Reference: Annex 302.2).
- FIELD 11: This field must be completed, signed, and dated by the exporter. When the Certificate is completed by the producer for use by the exporter, it must be completed, signed, and dated by the producer. The date must be the date the Certificate was completed and signed.